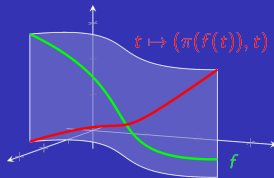


Embeddings and Automorphisms in Affine Algebraic Geometry



Immanuel van Santen



Universität
Basel

21 September 2023

- 1 Terms from affine algebraic geometry

Outline

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- 2 Classical embedding theorems

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- 3 Algebraic groups

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- 3 Algebraic groups
- 4 Embeddings into algebraic groups

Affine varieties - the geometric objects

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Definition

The geometric objects we consider here are sets of zeros $V(p_1, \dots, p_r)$ in \mathbb{C}^n of some complex polynomials p_1, \dots, p_r . These objects are called **affine varieties**.

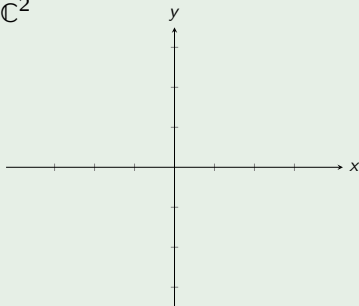
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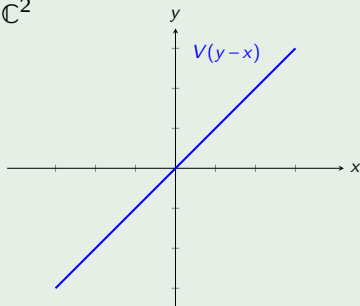
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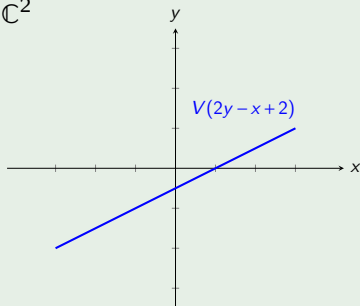
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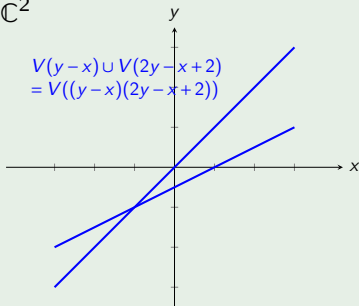
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$$\begin{aligned} &V(y-x) \cup V(2y-x+2) \\ &= V((y-x)(2y-x+2)) \end{aligned}$$



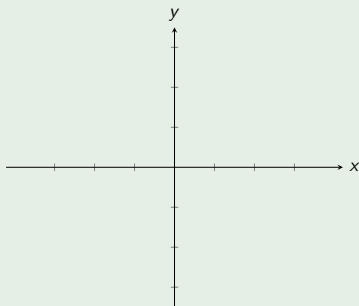
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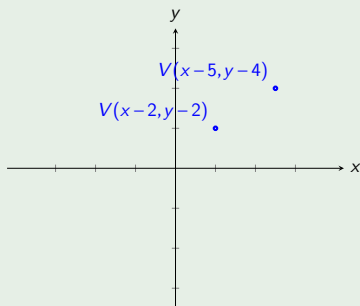
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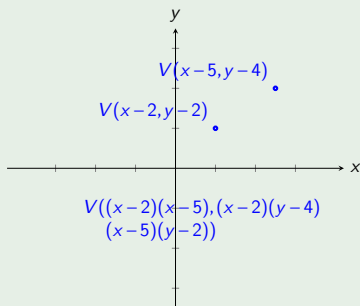
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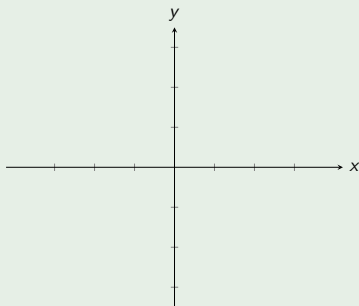
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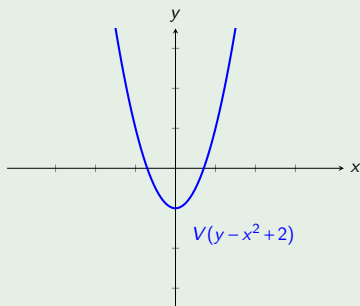
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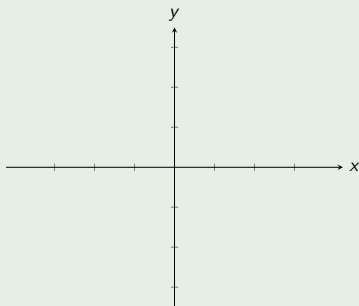
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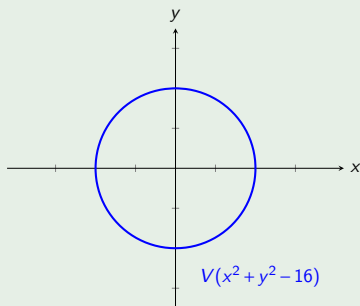
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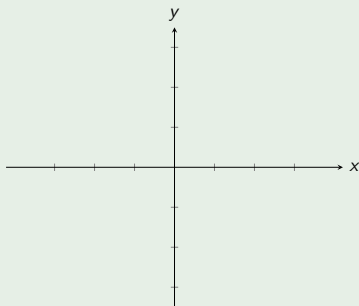
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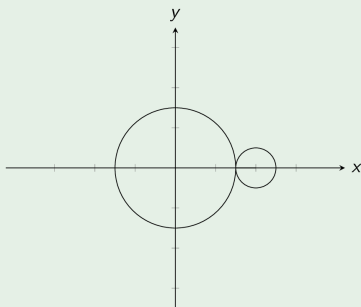
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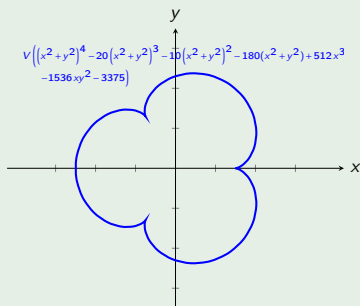
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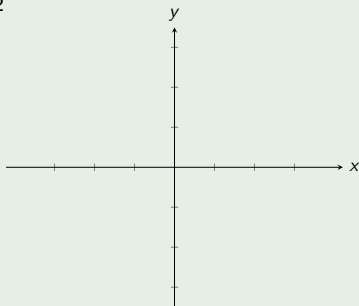
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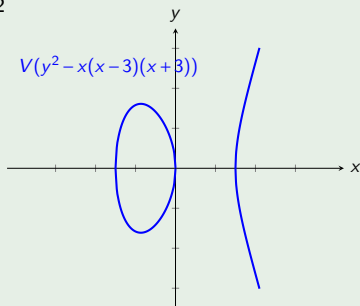
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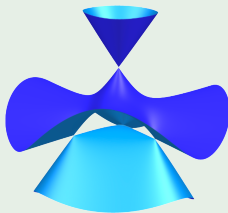
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$$V\left(x^3 + y^3 + z^3 + 1 - \frac{1}{4} \cdot (x + y + z + 1)^3\right)$$

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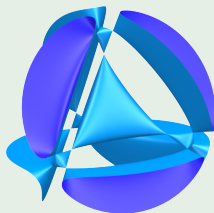
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$$V\left(\left(x^2 + y^2 + z^2 - \frac{9}{4}\right)^2 - \frac{23}{3} \cdot ((1-z)^2 - 2x^2) \cdot ((1+z)^2 - 2y^2)\right)$$

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Definition

The affine varieties in \mathbb{C}^n form the closed subsets of a topology in \mathbb{C}^n , called **Zariski topology**. All affine varieties are endowed with the topology induced by the Zariski topology on \mathbb{C}^n .

Morphisms, isomorphisms, automorphisms and embeddings

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Let X be an affine variety in \mathbb{C}^n and Y be an affine variety in \mathbb{C}^m .

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- ③ An **embedding** is a map $f: X \rightarrow Y$ such that the image $f(X)$ is an affine variety in \mathbb{C}^m (i.e. it is closed in Y) and the restriction $f: X \rightarrow f(X)$ is an isomorphism.

Morphisms, isomorphisms, automorphisms and embeddings

Examples

Morphisms, isomorphisms, automorphisms and embeddings

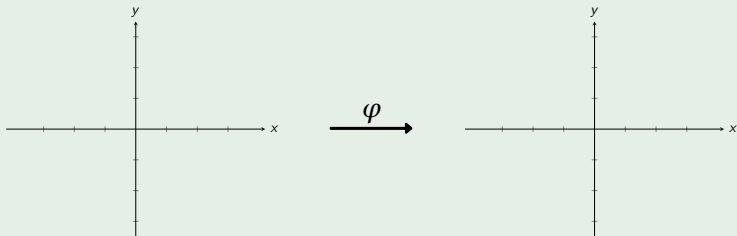
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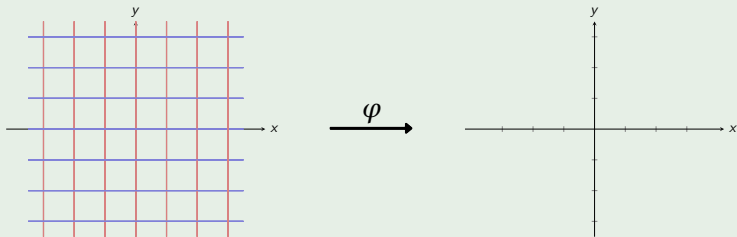
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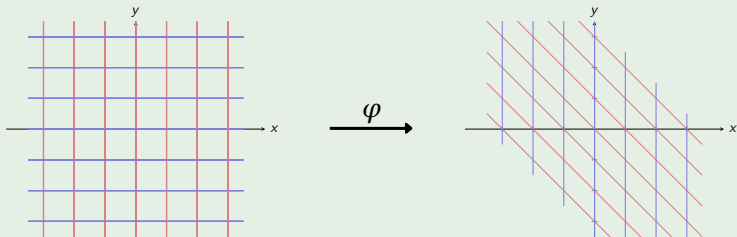
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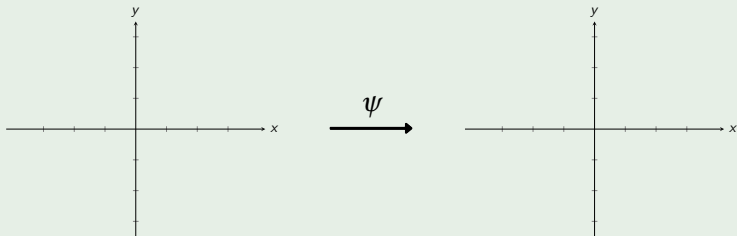
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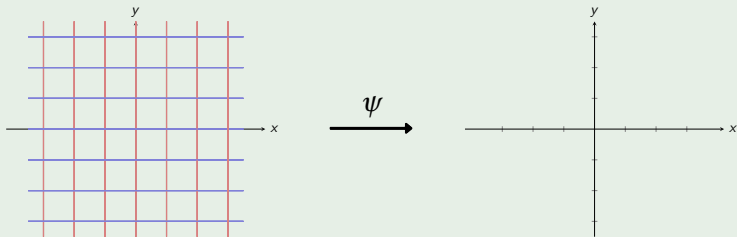
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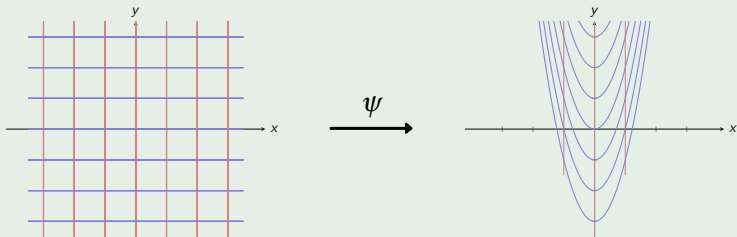
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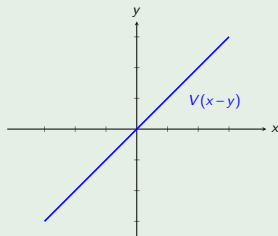
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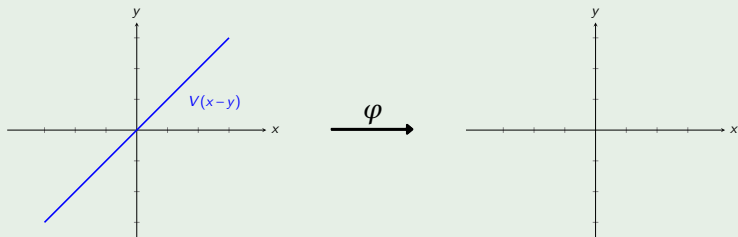
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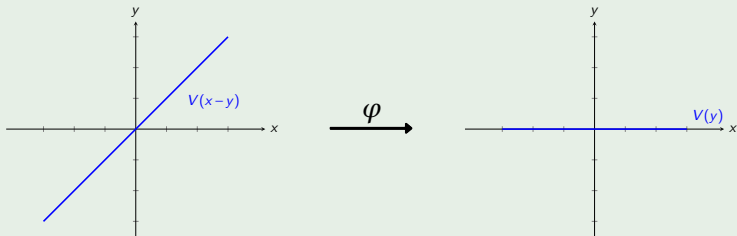
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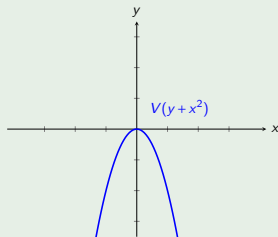
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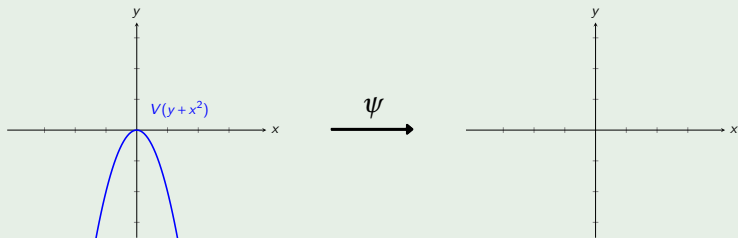
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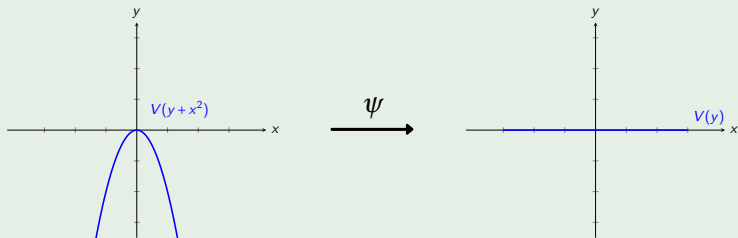
- ① $\varphi: \mathbb{C}^2 \rightarrow \mathbb{C}^2$, $\varphi(x, y) = (y, x - y)$ is an automorphism of \mathbb{C}^2
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Morphisms, isomorphisms, automorphisms and embeddings

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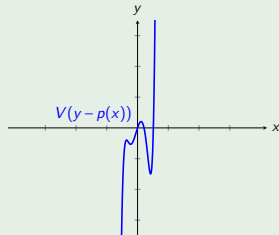
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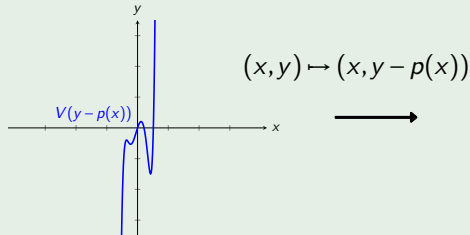
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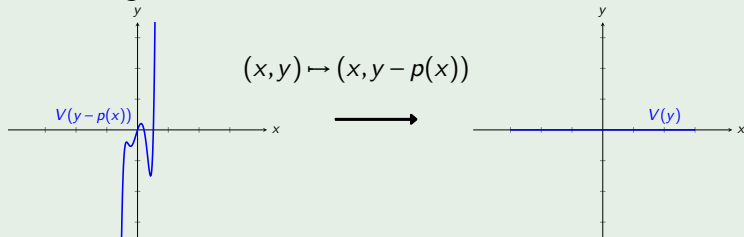
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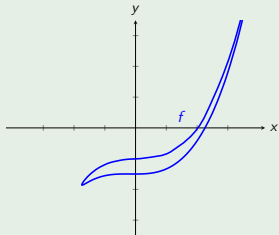
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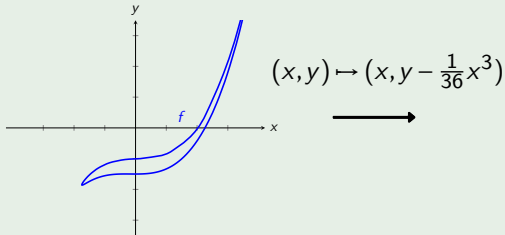
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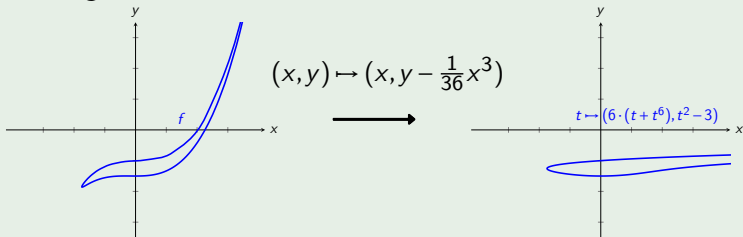
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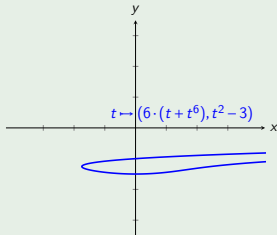
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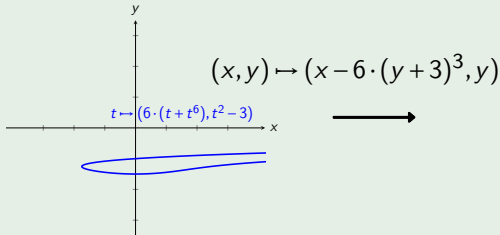
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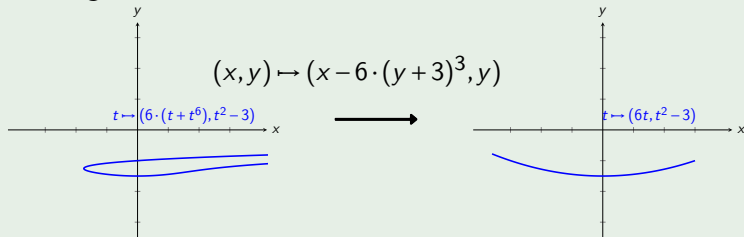
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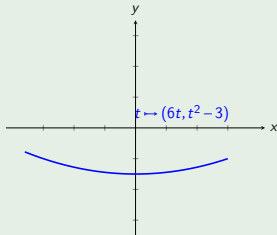
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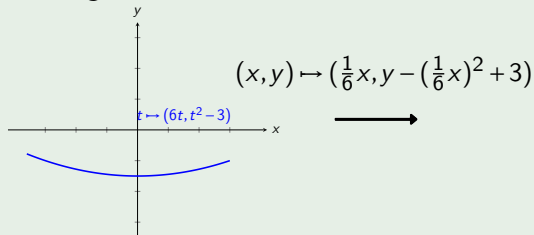
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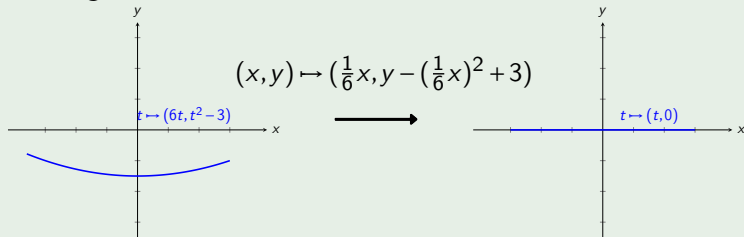
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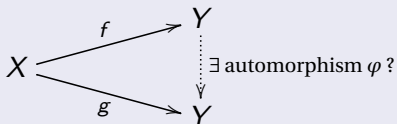
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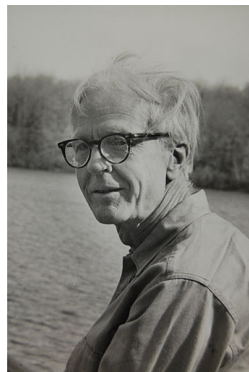


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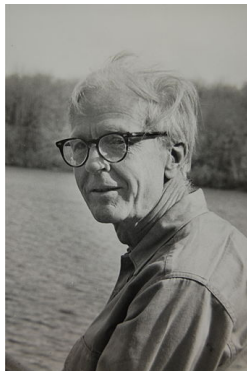
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Let M be a smooth manifold of dimension $d \geq 0$. Then:



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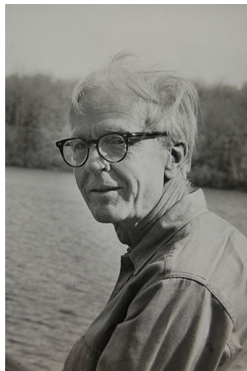
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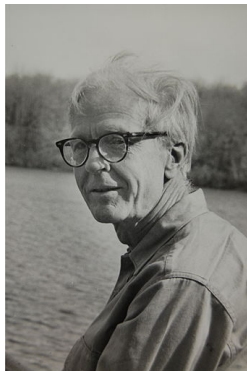
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T.T. Moh



M. Suzuki

Embeddings of \mathbb{C} into \mathbb{C}^2

Theorem (Abhyankar-Moh, Suzuki, 74,75)

Two embeddings $\mathbb{C} \rightarrow \mathbb{C}^2$ are always equivalent.



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T.T. Moh



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Embeddings of \mathbb{C} into \mathbb{C}^3

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Examples

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linear embedding

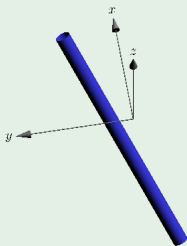
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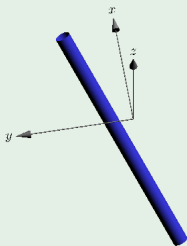


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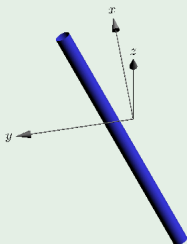


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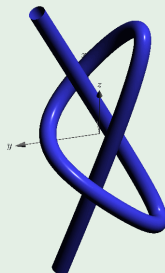
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Open question, Shastri, 92

Are these two embeddings equivalent?

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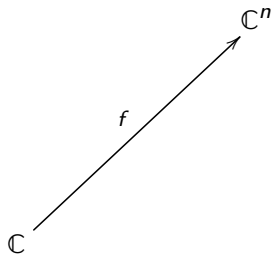
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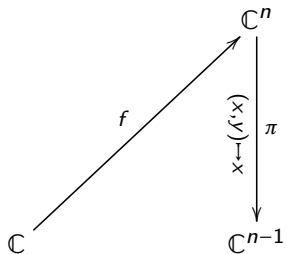
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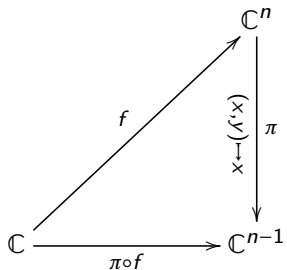
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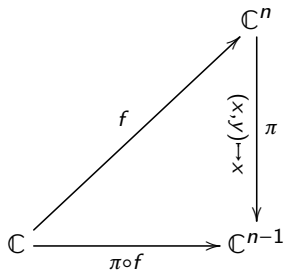
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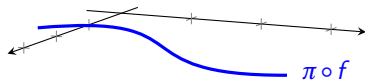
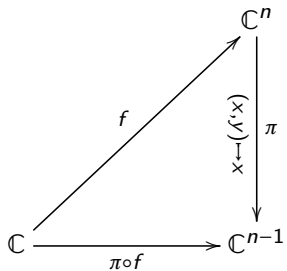
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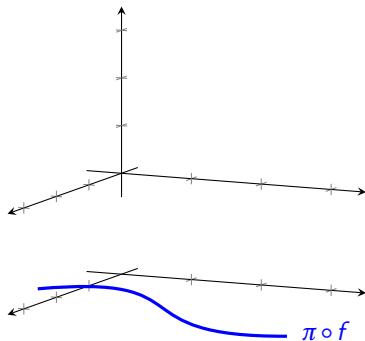
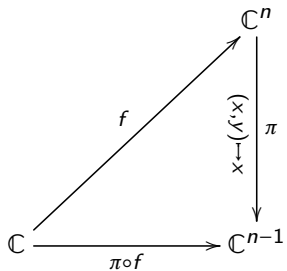
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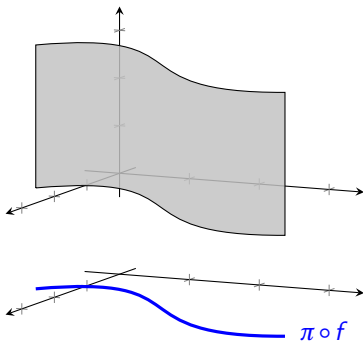
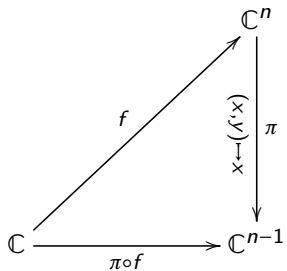
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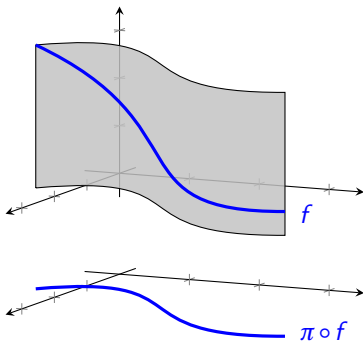
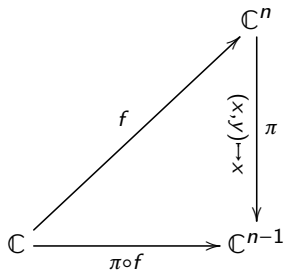
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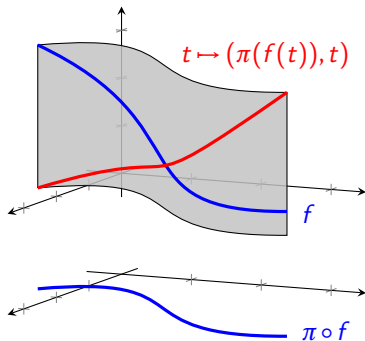
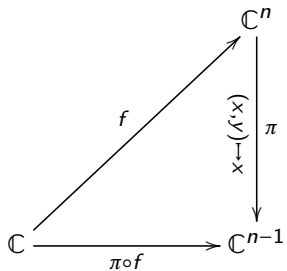
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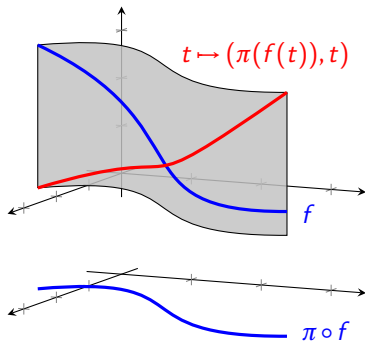
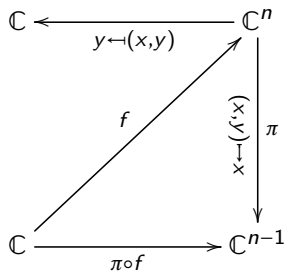
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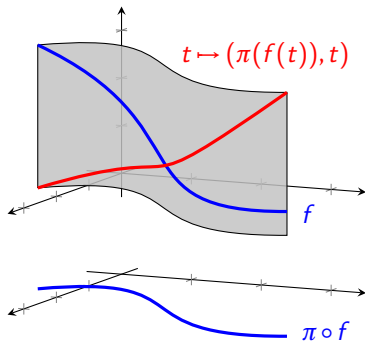
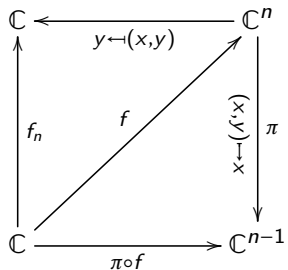


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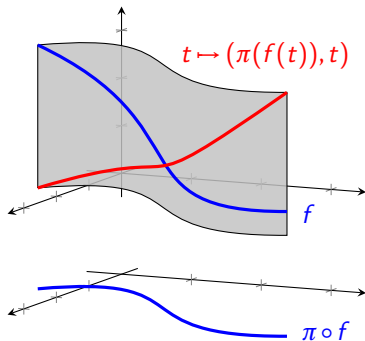
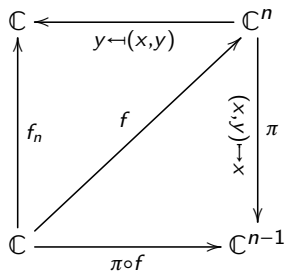


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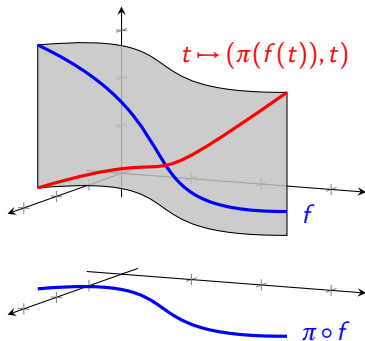
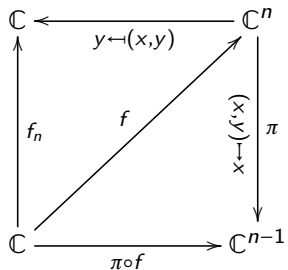
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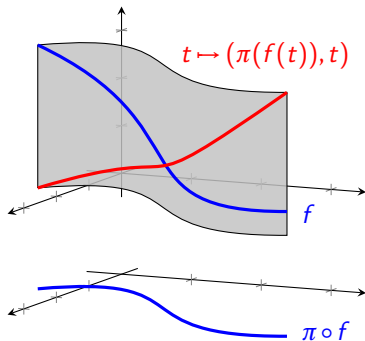
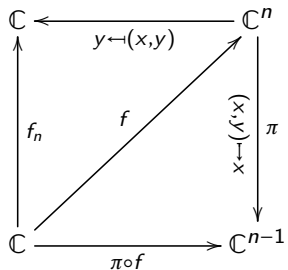
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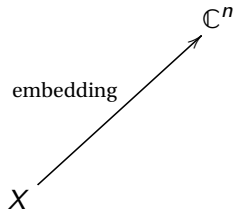
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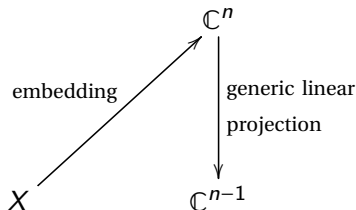
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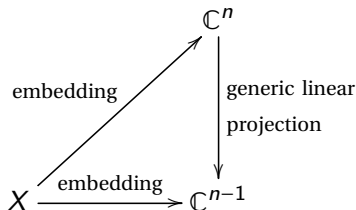
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Open question

Assume $1 \leq d < n \leq 2d + 1$ are integers such that $(d, n) \neq (1, 2)$.
Are two embeddings $\mathbb{C}^d \rightarrow \mathbb{C}^n$ always equivalent?

Algebraic groups

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An **algebraic group** is an affine variety G that is also a group and the map $G \times G \rightarrow G$, $(g, h) \mapsto gh^{-1}$ is a morphism.

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- 2 The special linear group
$$\mathrm{SL}_n(\mathbb{C}) = \{A \mid \det(A) = 1\} \subseteq \mathrm{Mat}_{n \times n}(\mathbb{C}) = \mathbb{C}^{n^2}.$$

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Fact

Every connected algebraic group G can be written as a semi-direct product $G^u \rtimes \mathbb{G}_a^r$, where G^u is the subgroup of G generated by subgroups isomorphic to \mathbb{G}_a (and thus G^u is characterless) and $r \geq 0$.

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A bijective correspondence

$$\{\text{embeddings } X \rightarrow G\} / \sim \xleftrightarrow{1:1} \{\text{embeddings } X \rightarrow G^u\} / \sim$$

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It is not known whether all embeddings $\mathbb{C} \rightarrow G$ are equivalent.

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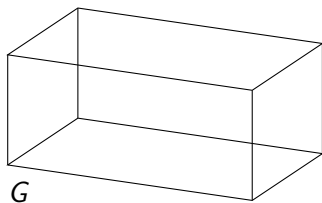
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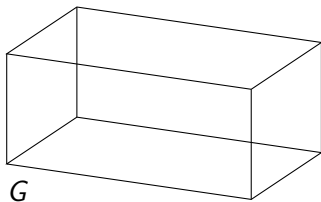
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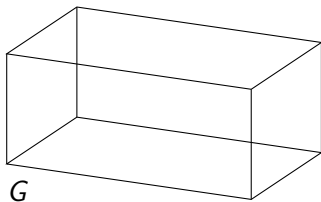
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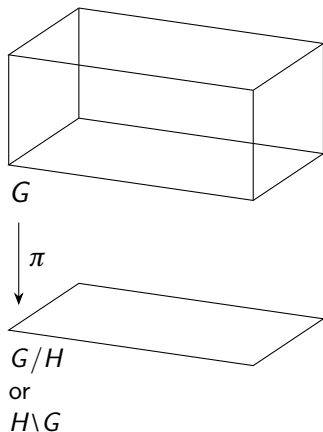


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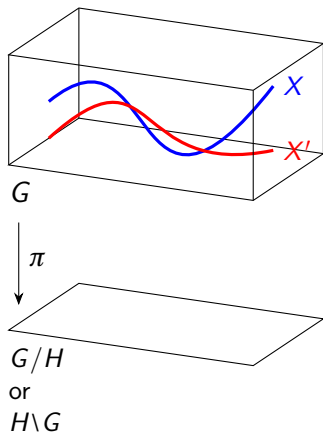
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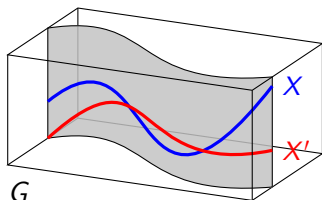
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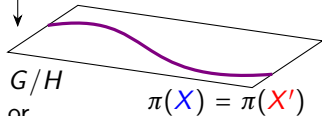
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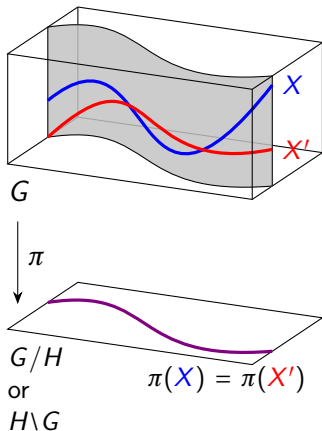
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Then there exists an automorphism φ of G with $\varphi(X) = X'$.



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Then: There exists an automorphism φ of G such that for generic $g \in G$ holds:

$$\begin{array}{ccc} \varphi(X) & \subseteq & G \\ & \searrow & \downarrow \\ & & G/gHg^{-1} \end{array}$$

Tool II: Generically projecting embeddings

Assume:

- G is an almost simple algebraic group of dimension ≥ 4
(almost simple = every proper normal subgroup of G is finite).
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Embedding \mathbb{C} into characterless algebraic groups

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*Let G be a characterless algebraic group of dimension ≥ 4 .
Then all embeddings $\mathbb{C} \rightarrow G$ are equivalent.*

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Embedding \mathbb{C} into characterless algebraic groups

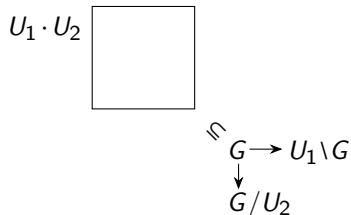
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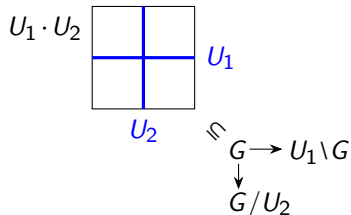
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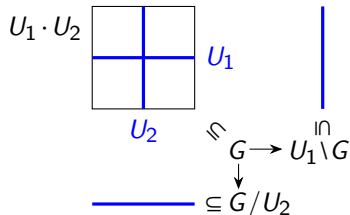
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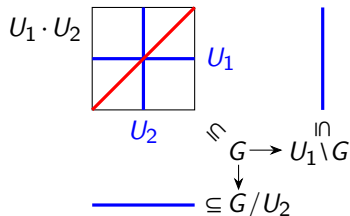
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Step 2: It is enough to show that X is contained in a proper characterless algebraic subgroup of G up to an automorphism.

Embedding \mathbb{C} into characterless algebraic groups

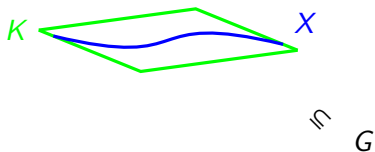
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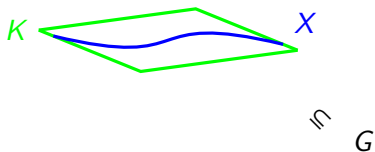
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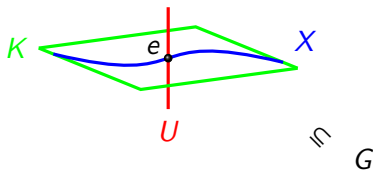
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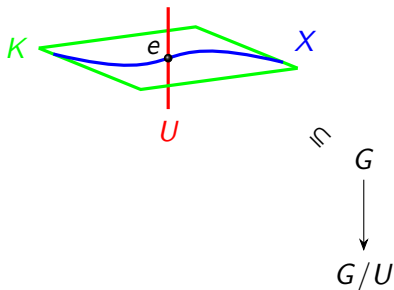
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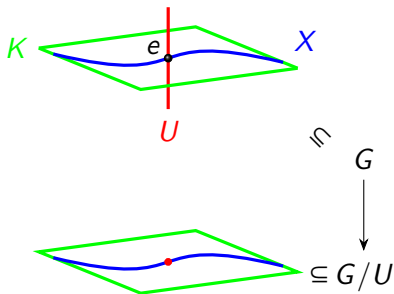
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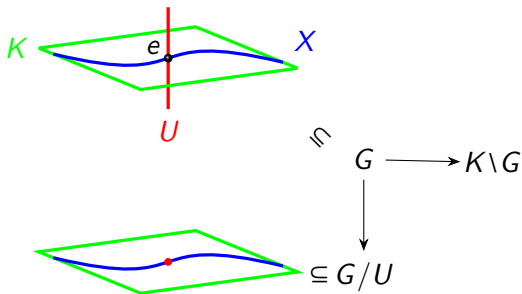
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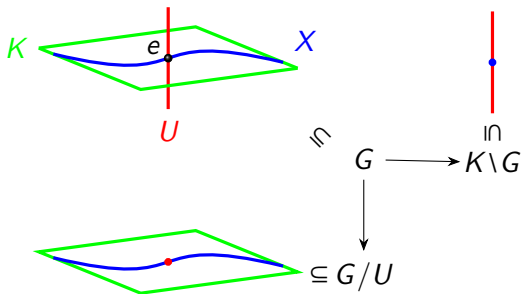
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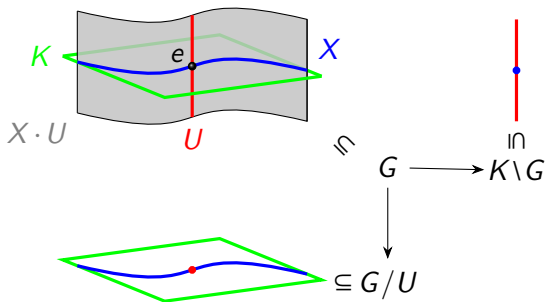
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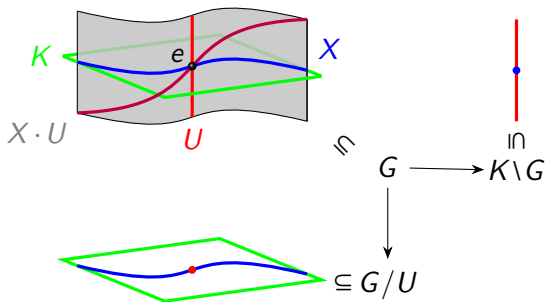
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Embedding \mathbb{C} into characterless algebraic groups

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Step ③: Move X into a special closed subset E of G .

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Example

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Embedding \mathbb{C} into characterless algebraic groups

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$$B := \left\{ \left(\begin{array}{ccc} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{array} \right) \right\} \subseteq P := \left\{ \left(\begin{array}{ccc|cc} * & * & * & & \\ \hline 0 & * & * & & \\ 0 & * & * & & \end{array} \right) \right\}, \quad R_u(P^-) := \left\{ \left(\begin{array}{ccc|cc} 1 & 0 & 0 & & \\ \hline * & 1 & 0 & & \\ * & 0 & 1 & & \end{array} \right) \right\}.$$

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is the quotient of left $R_U(P^-)$ -cosets, where W is the affine variety given by the cross-product of both columns.

Embedding \mathbb{C} into characterless algebraic groups

Step ③: Move X into a special closed subset E of G .

Example

Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

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$$\pi|_E: E \rightarrow \mathrm{Mat}_{3 \times 2}(\mathbb{C}) \setminus W = \mathrm{SL}_3(\mathbb{C})/R_u(P^-), (v_1|v_2|v_3) \mapsto (v_2|v_3)$$

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Embedding \mathbb{C} into characterless algebraic groups

Step ③: Move X into a special closed subset E of G .

Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

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Moreover, one can show that $\pi(E)$ is a **big open** subset of $G/R_u(P^-)$ (i.e. the complement has codimension ≥ 2) and that

$$\pi|_E: E \rightarrow \pi(E)$$

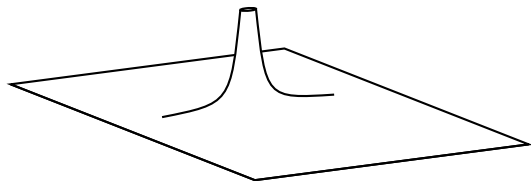
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Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

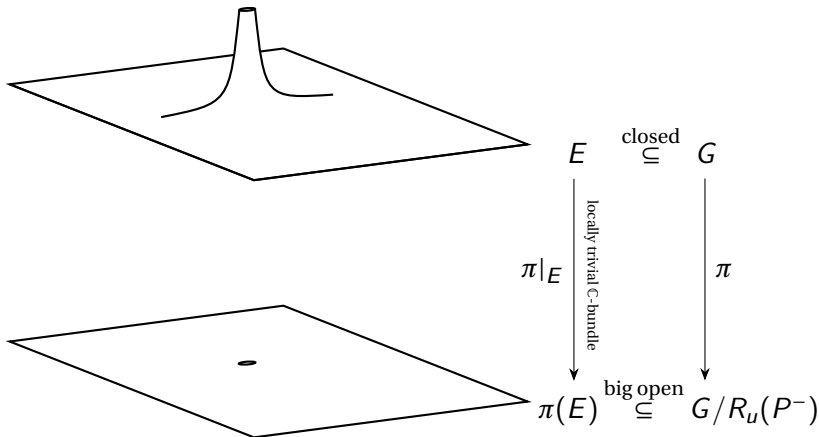
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$$E \stackrel{\text{closed}}{\subseteq} G$$

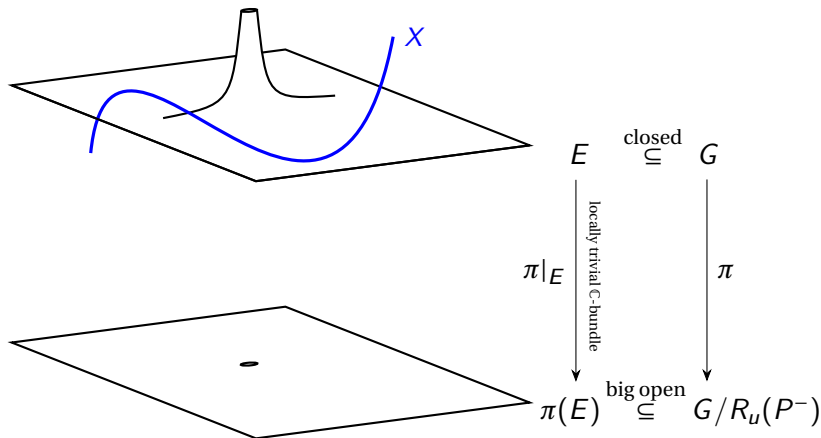
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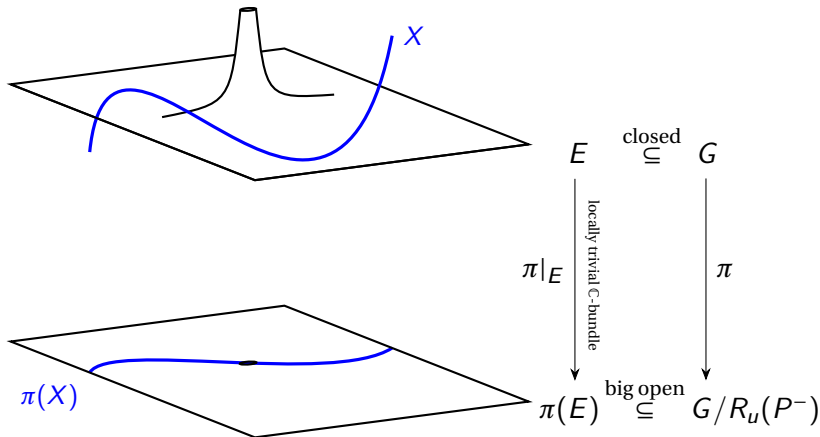
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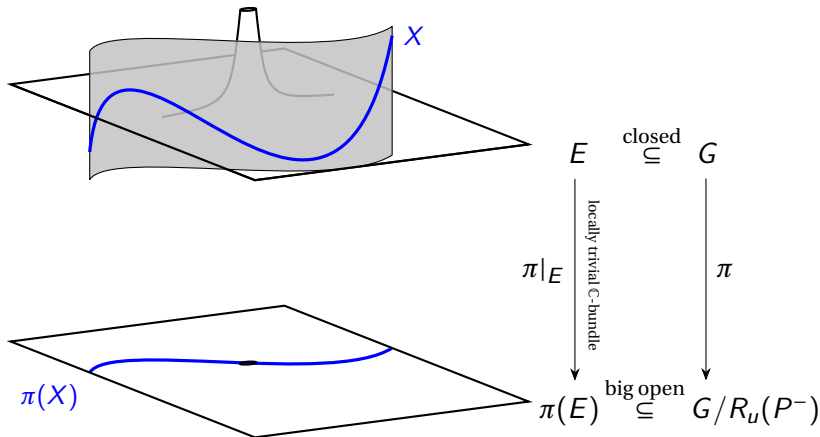
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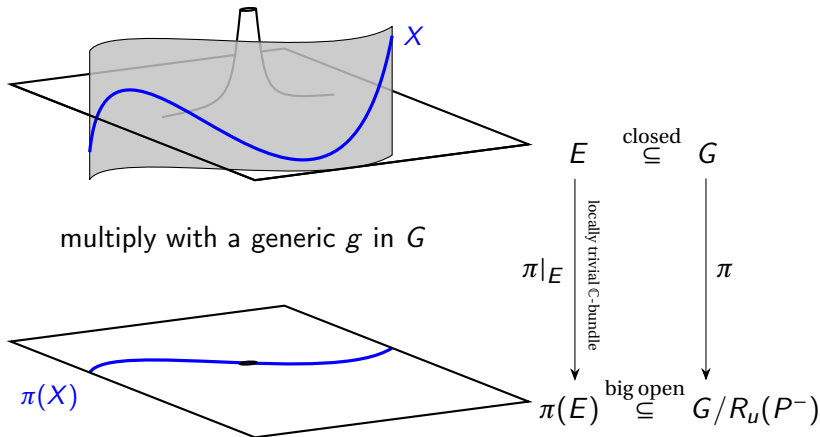
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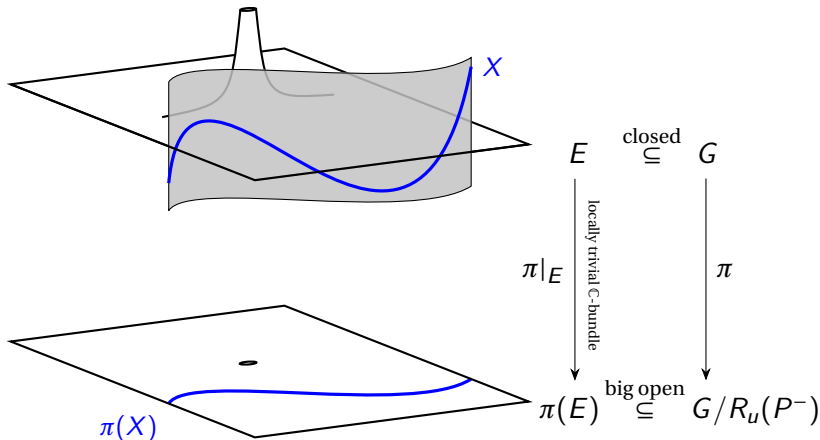
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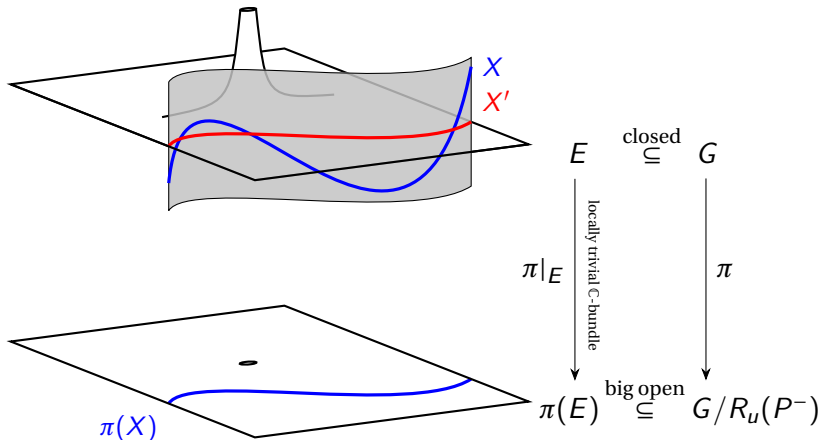
Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

Embedding \mathbb{C} into characterless algebraic groups

Step ④: Move X into a proper characterless algebraic subgroup.

Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

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Embedding \mathbb{C} into characterless algebraic groups

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End

Thank you for your attention!